

Ref. No.: AUSFB/SEC/2020-21/71

Date: 26th May, 2020

To,

National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol : AUBANK	BSE Limited, Phiroz Jeejee bhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
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Dear Sir/Madam,

Sub: Disclosure of Related Party Transactions in compliance to Regulation 23(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015.

In reference to the compliance of disclosure of Related Party Transactions in terms of Regulation 23(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith note on Related Party Transactions for the year ended 31st March, 2020 drawn in accordance with applicable accounting standards.

Your are requested to accept and take on record the "Sd/-" copy, as we are unable to submit the signed copy in the situation of COVID – 19 as per directives of SEBI.

Thanking You

For AU SMALL FINANCE BANK LIMITED

Sd/-

**MANMOHAN PARNAMI
COMPANY SECRETARY AND COMPLIANCE OFFICER**

Encl: As above

Registered Office

AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, **Fax:** +91 141 4110090
CIN: L36911RJ1996PLC011381
Formerly known as **Au Financiers (India) Limited**

AU SMALL FINANCE BANK LIMITED

Notes forming part of the financial statements for the Year Ended March 31, 2020

Related Party Disclosures:

A. Related Parties :

Key management personnel (KMP)	Mr. Sanjay Agarwal, Managing Director and CEO Mr. Uttam Tibrewal, Whole Time Director Mr. Deepak Jain, Chief Financial Officer (upto March 31, 2020), Elavated as Chief Operating Officer w.e.f. Apr 1, 2020 Mr. Vimal Jain, Chief Financial Officer (w.e.f. Apr 1, 2020) Mr. Manmohan Parnami, Company Secretary
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Enterprises over which KMP / relatives of KMP have control / significant influence	Abhiyan Bharat Foundation AU Bank Employees Group Gratuity Trust Au Financiers Employees Welfare Trust AU Foundation (w.e.f. July 19, 2018) BIFCO Private Limited Deepak Tarachand HUF DS Speciality Product LLP Durga Automation & Control Private Limited (w.e.f. March 12, 2019) Durga Bearings (Mumbai) Private Limited Jyoti Sanjay Family Trust Kalinga Agencies Kalinga Mercantile Private Limited Kalinga Extrusions India Private Limited Khushi Buildhome Private Limited Manmohan Parnami HUF MYS Holdings Private Limited Namokar Mining Industries LLP Sanjay And Jyoti Agarwal Foundation Shivgyan Mines and Minerals Private Limited Shree Dham Mining Industries LLP Speciality Stones Private Limited
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Relatives of KMP	Ms. Jyoti Agarwal Mr. Chirinjee Lal Agarwal Ms. Shakuntala Agarwal Mr. Yuvraj Agarwal Ms. Mallika Agarwal Mr. Ajay Agarwal Ms. Pinki Agarwal Ms. Pooja Tibrewal Mr. Devi Prasad Tibrewal Ms. Geeta Devi Tibrewal Mr. Vaibhav Tibrewal Mr. Subhash Tibrewal Ms. Manju Agarwal Ms. Uma Bagaria Ms. Suman Sultania Ms. Shweta Jain Ms. Santosh Jain Mr. Devansh Jain Ms. Khushi Jain Ms. Kavita Goyal Ms. Sunita Agrawal Ms Anita Agarwal Ms. Bhawna Parnami Ms. Rajkumari Sukhija Ms. Asmita Parnami Ms. Jaisvi Parnami Mr. Mahinder Parnami Ms. Kavita Sukhija Ms. Shikha Taneja
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AU SMALL FINANCE BANK LIMITED

Notes forming part of the financial statements for the Year Ended March 31, 2020

B. The Bank's related parties balances and transactions for the year ended are summarised as follows:

1. Deposits

(₹ in Crore)

Particular	As at March 31, 2020		As at March 31, 2019	
	Closing balance	Highest balance during the year	Closing balance	Highest balance during the year
Key Management Personnel (KMP)	6.62	24.66	3.26	35.55
Relatives of KMP	26.62	46.38	11.06	25.97
Enterprise over which KMP/Relative of KMP have control / significant influence	2.52	22.89	0.38	7.48

2. Interest Paid on Deposits

(₹ in Crore)

Particular	Year ended March 31, 2020	Year ended March 31, 2019
Key Management Personnel (KMP)	0.40	0.27
Relatives of KMP	1.19	0.63
Enterprise over which KMP/Relative of KMP have control / significant influence	0.02	0.00

Income including miscellaneous charges received from all the related parties are less than ₹ 100,000/- hence not shown separately.

3. Remuneration

(₹ in Crore)

Particular	Year ended March 31, 2020	Year ended March 31, 2019
Mr. Sanjay Agarwal, Managing Director and CEO	2.31	1.69
Mr. Uttam Tibrewal, Executive Director	3.43	1.47
Mr. Deepak Jain, Chief Financial Officer	1.65	1.61
Mr. Manmohan Parnami, Company Secretary	0.48	0.47
Total	7.87	5.24

1. Remuneration paid excludes value of employee stock options exercised during the year.

2. The remuneration to the key managerial personnel does not include the provisions made for gratuity and leave benefits, as they are determined on an actuarial basis for the Bank as a whole.

4. Loans given and repayment

There is no loan related transaction with the related parties during the year (previous year : Nil).

5. Other transaction

(₹ in Crore)

Name of related party	Nature of transactions	Year ended March 31, 2020	Year ended March 31, 2019
Mr. Uttam Tibrewal	Issue of Equity Shares of the Bank	-	2.57
Mr. Deepak Jain	Issue of Equity Shares of the Bank	6.64	0.15
Mr. Manmohan Parnami	Issue of Equity Shares of the Bank	0.02	0.02
Key Management Personnel (KMP)	Dividend Paid	4.61	2.95
Relatives of KMP	Dividend Paid	2.32	1.55
Enterprises over which KMP/ relatives of KMP have control/ significant influence	Dividend Paid	0.73	0.52
AU Bank Employees Group Gratuity Trust	Contribution to Fund	3.30	11.75
AU Foundation	Donation	4.05	0.77

For AU Small Finance Bank Limited

Sd/
Mr. Vimal Jain
Chief Financial Officer

For AU Small Finance Bank Limited

Sd/
Mr. Manmohan Parnami
Company Secretary